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Jc945 U.S. PTO

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications  
under  
37 CFR 1.53(b))

Attorney Docket No.

PD99-2788

First Inventor

Richard Willems

Title

SYSTEM AND METHOD FOR  
COLLECTING SYSTEM DATA  
USING RECORD BASED  
REQUESTS WITH TAG LISTS AND  
PAUSING ALL BUT ONE THREAD  
OF A COMPUTER SYSTEM

Express Mail Label No.

EL700671685US

**APPLICATION ELEMENTS**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
3. ☒ Specification [ total pages 39 ]  
(preferred Arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) [ total sheets 8 ]
5. ☒ Oath or Declaration [ total sheets 1 ]
- a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. (See 37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table  
or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (coversheet/document(s))
10. ☐ 37 CFR. 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document
12. ☐ IDS & Form 1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Certificate of Mailing by Express Mail

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:   /   

Prior application information: Examiner:    Group/Art Unit:   

**FOR CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

Name **William J. KUBIDA, Esq.****Hogan & Hartson, LLP**Address **1200 17<sup>th</sup> Street****Suite 1500**City **Denver**

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Name (Print/Type)

**Steven K. Barton**

Registration No.

**36,445**

(Signature)

*Steven K. Barton*

Date

**3 Nov 2000**

# FEE TRANSMITTAL for FY 2000

Complete if Known

Application Number \_\_\_\_\_  
Filing Date Herewith  
First Named Inventor Richard Willems  
Examiner Name \_\_\_\_\_  
Group / Art Unit \_\_\_\_\_  
Attorney Docket No. PD99-2788

TOTAL AMOUNT OF PAYMENT (\$) (\$)**830.00**

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1123

Deposit  
Account  
Name

Hogan & Hartson L.L.P.

☒ Charge Any Additional Fee Required Under  
37 CFR § 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money  
Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	710
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20**= 0	18	0
Independent Claims	4	-3**= 1	80
Multiple Dependent			0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)**80.00**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40.00
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
		Request for expedited examination of a design application	

Other fee  
(specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)**40.00**

## SUBMITTED BY

Name Steven K. Barton

(Print/Type)

Signature

*Steven K. Barton*

Registration No.  
(Attorney/Agent)

36,445

Telephone

(719) 448-5900

Date

3 Nov 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard Willems

Serial No. \_\_\_\_\_

Filed: Herewith

For: SYSTEM AND METHOD FOR COLLECTING SYSTEM  
DATA USING RECORD BASED REQUESTS WITH TAG  
LISTS AND PAUSING ALL BUT ONE THREAD OF A  
COMPUTER SYSTEM

Group Art Unit: \_\_\_\_\_

Examiner: \_\_\_\_\_



CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal and \$ 790 filing fee;
3. Utility Patent Application;
4. Executed Declaration;
5. Executed Power of Attorney by Assignee
6. 8 sheets of drawings;
7. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
8. Return postcard; and
9. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL700671685US with the United States Postal Service, addressed to The Commissioner of Patents and Trademarks, Washington, D.C., 20231, Nov 3, 2000.

Nov 3, 2000  
Date

3 Nov 2000  
Date

Julie M. Trowell  
Mailer

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